## **EXHIBIT M**

RE: Compliance with Court Order, Interrogatory and Document Requests - Local Rule 7.1(d) Conference Case 1:09-cv-01176-ATB Document 81-14 Filed 05/31/12 Page 2 of 24

From: Joey Costyn <Jcostyn@fordharrison.com>

Sent: Monday, August 23, 2010 9:49 PM
To: Michael Wallender; Nathan Sabourin

**Cc:** Philip Davidoff

**Subject:** RE: Compliance with Court Order, Interrogatory and Document Requests - Local Rule

7.1(d) Conference

Attachments: Atlanta-513917-v1-Sterling Planet- Bennett- Letter to M. Wallender responding to

deficient discovery.DOC; Atlanta-513967-v1-Bennett v. Sterling Planet - Attachment A (RBS info).XLS; Atlanta-513968-v1-Bennett v. Sterling Planet - Attachment B (Suffolk County LIPA info).PDF; Atlanta-513969-v1-Bennett v. Sterling Planet - Attachment C

(Sierra Pacific).PDF

Michael,

Pursuant to our conversation today, I am providing the attached written response to your letter dated August 19, 2010. The documents that are attached to this email are designated as "Confidential" pursuant to the Stipulation and Protective Order.

Joey

From: Michael Wallender [mailto:MWallender@CouchWhite.com]

Sent: Monday, August 23, 2010 9:28 AM

To: Joey Costyn

Cc: Philip Davidoff; Nathan Sabourin

Subject: RE: Compliance with Court Order, Interrogatory and Document Requests - Local Rule 7.1(d) Conference

### Local Rule 7.1(d) Conference:

### Joey:

- 1. After we had called you for the 9:00 am Local Rule 7.1(d) Conference we received your email that you wanted to again reschedule the conference, to 1:00 pm today. Plaintiff agrees to do so under a reservation of all rights.
- 2. Your continuing disregard of the Court Order, Interrogatory and Document Requests and approach to Local Rule 7.1(d) are unacceptable and, indeed, sanctionable.
- 3. Last Friday, 8/20/10 you agreed to the rescheduling of the depositions which had been slated for September 2 and 3. We need to obtain alternative dates, but the depositions scheduling continues to be predicated upon the Defendant's substantive obligation to have properly answered Interrogatory #4 (due 7/7/10; Court Ordered for 8/9/10 and still not answered) provision of complete responses to Document Demands 11-14 (likewise due 7/7/10; Court Ordered for 8/9/10 and continuing significant deficiencies, including those detailed in Plaintiff's 8/19/10 letter).

Regards,

Mike

Michael T. Wallender, Esq. Couch White, LLP P.O. Box 22222 540 Broadway Albany, NY 12201-2222 518-320-3422

CONFIDENTIALITY – PRIVILEGE NOTICE: Information contained in this e-mail is intended only for the personal and confidential use of the recipient(s) named above. This message may be an attorney-client communication and as such is privileged and confidential. If you are not the intended recipient, this serves as notice to you that you have received this document in error and that any review, dissemination, distribution, or copying of this message is strictly prohibited. If you have received this communication in error, please delete the original message and any attachments thereto and immediately notify the sender via e-mail or by telephone at (518) 426-4600. Thank you.

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From: Joey Costyn [mailto:Jcostyn@fordharrison.com]

Sent: Monday, August 23, 2010 9:04 AM

To: Michael Wallender

Cc: Philip Davidoff; Nathan Sabourin

Subject: RE: Compliance with Court Order, Interrogatory and Document Requests - Local Rule 7.1(d) Conference

Michael, I am not available this morning, what about 1:00 today?

----Original Message-----

From: Michael Wallender [mailto:MWallender@CouchWhite.com]

Sent: Fri 8/20/2010 5:51 PM

To: Joey Costyn

Cc: Philip Davidoff; Nathan Sabourin

Subject: RE: Compliance with Court Order, Interrogatory and Document Requests - Local Rule 7.1(d) Conference

Joey:

This matter is urgent. We will again reschedule our Local Rule 7.1(d) Conference, to Monday, 8/23/10 at 9:00 am., in yet another effort to obtain full compliance and reduce the disadvantage that has been imposed upon the Plaintiff.

We reiterate our concerns regarding Defendant's violations of the 8/3/10 Court Order and its discovery obligations generally. While we appreciate your agreement to reschedule the Depositions slated for September 2 & 3, , we must have full compliance with the outstanding discovery matters. All rights are reserved.

Regards,

Mike

Michael T. Wallender, Esq.

Couch White, LLP

P.O. Box 22222

540 Broadway

Albany, NY 12201-2222

518-320-3422

RE: Compliance with Court Order, Interrogatory and Document Requests - Local Rule 7.1(d) Conference

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\_\_\_\_

From: Joey Costyn [mailto:Jcostyn@fordharrison.com]

Sent: Friday, August 20, 2010 3:19 PM

To: Michael Wallender

Cc: Philip Davidoff; Nathan Sabourin

Subject: RE: Compliance with Court Order, Interrogatory and Document Requests - Local Rule 7.1(d) Conference

I will not be able to talk today, currently being treated by a specialist. I understand if you want to push depos back, and will not oppose. Forgive any errors, typing this on my phone and I can't see too well right now.

August 23, 2010

JOEY M. COSTYN (404) 888-3811 jcostyn@fordharrison.com

Michael T. Wallender, Esq. Couch White, LLP 540 Broadway Albany, NY 12207-2743

Re: Kelly Bennett v. Sterling Planet, Inc.

Dear Mr. Wallender:

This letter is in response to your letter dated August 19, 2010:

(1) A substantive response to Interrogatory #4. Defendant has provided a spreadsheet that Defendant contends is responsive, but has not provided an actual substantive Interrogatory response that identifies each transaction on Exhibit A, the gross profit for each transaction on Exhibit A (defined as Sterling Planet revenue received from customer minus any broker fees paid to Broker to supply renewable energy and minus supply costs), and identifying the supply, purchase and broker agreement upon which these calculations are based.

**RESPONSE:** It is Defendant's contention that the spreadsheet provided in response to Interrogatory No. 4 is in compliance with the request and with the Court's Order. Interrogatory No. 4 requested Defendant to "set forth in detail the amount of any gross profit (defined as Sterling Planet revenue received from customer minus any broker fees paid to Broker to supply renewable energy and minus supply costs) for each customer contract or transaction identified in the Confidential Spreadsheet, attached hereto as Exhibit 'A,' together with the figures used by the Defendant to compute the applicable gross profit." Defendant produced a spreadsheet which set forth gross profit as well as the figures used to compute it: revenue, supply cost, and broker fees for each such transaction, which is specifically what was requested by Interrogatory No. 4.

In our conversation today, you indicated that there were several transactions listed on Exhibit "A" that were not included in the spreadsheet produced by Defendant in response to Interrogatory No. 4. After review and consultation with my client, I determined that "Clean Currents," "E4 2006 Conference," "Hartwick College," "RBS Citizens, N.A.," "Suffolk County," and "Stop & Shop" were indeed omitted from the response. Defendant's explanation and correction for these omissions are as follows:

**Clean Currents**: involved two extremely low volume transactions (\$363.00 and \$493.58 total revenue) with to Clean Currents, which was a company owned by one of Defendant's independent sales agents, and through which outside customers were typically referred by the

agent. As such, this transaction was not reported as a sale in any of Defendant's records. While Defendant admits that the total revenue from the transaction equaled \$363.00 and \$493.58, it does not have any records from which it can identify the specific supply that was applied to the sale, and cannot therefore calculate total profit. In the interest of efficiency, Defendant is willing to stipulate a 50% profit for this particular transaction.

**E4 2006 Conference**: This was not a sale, but was a donation and/or trade of renewable energy credits in exchange for the ability to participate in the conference. Accordingly, Defendant is unable to calculate any "gross profit" from this transaction, as it received no revenue for this transaction.

**Hartwick College**: Other than several emails where Hartwick is mentioned and a two page proposal, all of which Defendant has already produced, Defendant has no records of any final sale being made to Hartwick College.

**RBS Citizens**: When compiling the information in response to Interrogatory No. 4, Defendant did not initially identify that it had made a sale to RBS due to the fact that RBS obtained renewable energy credits through an independent third party- Connecticut Light & Power ("CL&E"). CL&E offered renewable energy credits to its customers, and used Defendant as a supplier of those credits. Defendant has reviewed its contracts with RBS and its supply contracts, and provides the attached spreadsheet which includes the information that Plaintiff seeks in Interrogatory No. 4 for RBS. ("Attachment A" hereto). To the extent that any of the supply contracts referenced have not been produced, Defendant will produce via electronic means by the end of the week, with hard copy to follow.

**Suffolk County**: When compiling the information in response to Interrogatory No. 4, Defendant did not initially identify that it had made a sale to Suffolk County due to the fact that Suffolk County obtained renewable energy credits through an independent third party- the Long Island Power Authority ("LIPA"). LIPA offered renewable energy credits to its customers, and used Defendant as a supplier of those credits. Defendant has reviewed its contracts with LIPA and its LIPA payment records, and has records of Suffolk County purchasing only \$1,014.01 worth of credits at a rate of \$6.85 per Megawatt Hour via LIPA. ("Attachment B" hereto, some of which has already been produced). Defendant does not maintain records from which it can identify the supply used to fulfill this particular purchase, but, as indicated for Clean Currents, would be willing to stipulate a 50% profit for this particular transaction in the interest of efficiency.

**Stop & Shop:** This transaction was inadvertently omitted from the spreadsheet provided by Defendant in response to Interrogatory No. 4. As indicated in the Purchase Order already produced by Defendant, Stop & Shop purchased \$7,398.00 of credits at a rate of \$2.74 per Megawatt Hour on May 21, 2007. Defendant does not maintain records from which it can identify the supply used to fulfill this particular purchase, but, as indicated for Clean Currents and Suffolk County, above, would be willing to stipulate a 50% profit for this particular transaction in the interest of efficiency.

- (2) Any profit and supply contract information for the following transactions indicated on Exhibit A -
  - (i) Clean Currents;
  - (ii) EBA/NYS;
  - (iii) Hartwick College/ Pinelake Environmental Campus;
  - (iv) Hamilton College (2009 REC Year);
  - (v) RBS Citizens, N.A.;
  - (vi) Rutherford;
  - (vii) Suffolk County; and
  - (viii) The Stop and Shop Supermarket Company.

**RESPONSE:** Defendant has produced all profit figures and supply contracts in its possession, custody, or control for the above listed transactions, including the documents being produced herewith.

(3) Any profit and supply information for the 2006, 2007, and 2010 REC Years, for any of the transactions indicated on Exhibit A.

**RESPONSE:** The spreadsheet produced by Defendant in response to Interrogatory 4, in addition to the supplemental responses contained herein, identify profits earned by Defendant for the 2006, 2007, 2008, 2009, and 2010 REC Years. The majority of the transactions claimed by Plaintiff were supplied with credits purchased by Defendant during the 2008 and 2009 REC Years, which Defendant was able to apply to sales made within a 21 month period for each such REC Year.

- (4) Proposals, attestations, invoices, purchase orders, supply contracts or purchase/sale agreements for the following transactions indicated on Exhibit A-
  - (i) Hartwick College;
  - (ii) Onondaga Community College;
  - (iii) Navalis Company, Inc.; and,
  - (iv) Suffolk County.

**RESPONSE:** Defendant has no documents confirming any purchase by Hartwick College. Defendant has produced all proposals, attestations, invoices, purchase orders, supply contracts and purchase/sale agreements in its possession, control, or custody for Onondago Community College, Navalis Company, and Suffolk County.

- (5) Any correspondence related to the following transactions indicated on Exhibit A
  - (i) 550 West Washington Property, LLC; and,
  - (ii) ACORE.

**RESPONSE:** Defendant has produced all correspondence in its possession, control, or custody with regard to each customer listed on Exhibit A.

(6) Purchase/sales agreements, attestations, or invoices for the 2007 REC Year for Mohawk Paper.

**RESPONSE:** Defendant does not possess any attestation from the 2007 REC Year for Mohawk Paper, and has produced all invoices and purchase/sales agreements in its possession, custody, or control for Mohawk Paper, including documents from 2007.

(7) All attestations, purchase/sales contracts, purchase orders, and invoices for the 2009 REC Year for Intel Corporation contracts indicated on Exhibit A.

**RESPONSE:** Defendant has produced all attestations, purchase/sales contracts, purchase orders, and invoices in its possession, custody, or control for Intel Corporation for the years claimed by Plaintiff.

- (8) Supply contract information (e.g., REC price and volume) for the following supply contracts identified in Defendant's spreadsheet of alleged profits for the transactions indicated on Exhibit A -
  - (i) "Noble Environmental Power Hold Co" Eagle Envelope Company (Wind);
  - (ii) "Sierra Pacific Industries" Hamilton College) (Biomass);
  - (iii) "Georgia Pacific" Intel Corporation (Biomass);
  - (iv) "Alliant Energy" Intel Corporation (Wind); and,

(v) "MidAmerican" - Mohawk Paper (Wind).

### **RESPONSE**:

- (i) Noble: supply contract already produced.
- (ii) Sierra Pacific Industries: Defendant inadvertently omitted this contract from production. A copy is attached hereto as Attachment C.
- (iii) Georgia Pacific: supply contract already produced.
- (iv) Alliant Energy: supply contract already produced.
- (v) MidAmerican: supply contract already produced.

With regard to depositions, you indicated that you would be available on September 23-24, and on September 30-October 1. I am available on September 30-October 1, and am waiting for confirmation that my client will be able to attend on those dates as well. I will let you know as soon as I hear back.

Sincerely,

### JOEY M. COSTYN

### JMC2/kk

C:\Documents and Settings\jcostyn\Local Settings\Temporary Internet Files\OLK3A1E\Atlanta-513917-v1-Sterling Planet- Bennett- Letter to M Wallender responding to deficient discovery.DOC

### 2009

National Wind	MWh Sold 19,262.00 10,345.00	\$0.90	\$	9,310.50	Supplier Tatanka Wind Power Ottertail Power Company
	11,843.00	\$1.00	\$	11,843.00	Tatanka Wind Power
Regional Wind	284.00	\$16.00	\$	4,544.00	Conectiv Energy Supply Inc.
	3,378.00	\$6.50	\$	21,957.00	Invenergy Renewable LLC
	2,546.00	\$19.50	\$	49,647.00	Iberdrola Renewables LLC
	11,557.00	\$6.50	\$	75,120.50	Invenergy Renewable LLC
	7,105.00	\$6.50	\$	46,182.50	Invenergy Renewable LLC
NEPOOL Hydro	4,845.00	\$1.25	\$	6,056.25	Connecticut Municipal Electric Energy Cooperative
	2,859.00	\$1.10	\$	3,144.90	Massachusetts Technology Collaborative
	5,437.00	\$1.15	\$	6,252.55	Grey K Reneable Energy Limited c/o RNK Capital LLC
	491.00	\$1.25	\$	613.75	Connecticut Municipal Electric Energy Cooperative
	2,948.00	\$1.20	\$	3,537.60	Conservation Services Group
Supply Cost Total Revenue Gross Profit:	82,900.00	\$3.11	\$ :	257,471.55 345,000.00 87,528.45	

## 2010

	MWh	Supply		
<b>National Wind</b>	Sold	Price	Cost	Supplier
	12,755.48	\$0.95	\$12,117.71	MidAmerican
	8,998.00	\$0.95	\$8,548.10	MidAmerican
Regional Wind	5,598.00	\$16.00	\$89,568.00	Conectiv Energy Supply Inc.
•	2,811.00	\$19.50	\$54,814.50	Iberdrola Renewables LLC
	981.00	\$19.50	\$19,129.50	Iberdrola Renewables LLC
	284.00	\$16.00	\$4,544.00	Conectiv Energy Supply Inc.
	3,378.08	\$6.50	\$21,957.52	Invenergy Renewable LLC
CT Hydro	165.00	\$2.50	\$412.50	Summit Hydropower Inc.
<b>NEPOOL Hydro</b>	1,245.00	\$0.60	\$747.00	Watson Associates
•	332.00	\$0.60	\$199.20	Watson Associates
	899.00	\$0.60	\$539.40	Watson Associates
	525.00	\$0.50	\$262.50	Enel North America
	1,603.00	\$0.50	\$801.50	Enel North America
Totals	39,574.56	\$5.40	\$213,641.43	



# LIPA Green Choice Municipal Incentive Program Application

(For William H. Rogers Building)

Municipality Name			
Suffolk County			
Contact Person Name	Title	Phone	E-Mail
Javed Ashraf, P.E., C.E.M.	Energy Engineer	631-852-4229	jashraf@suffolkcountyny.gov
Address			
Street 335 Yaphank Avenue	Town Yaphank	NY	Zip Code 11980

The Municipality must contact an approved LIPA Green Choice Green Marketer to complete this application. The application must be submitted to LIPA by the Green Marketer.

### By applying for the incentive the Municipality understands and acknowledges that:

- 1. LIPA is offering municipalities a financial incentive for participation in the LIPA Green Choice program.
- LIPA will reimburse the municipality for up to half the municipality's cost to participate in Green Choice up to a maximum of 1 cent/kWh for
  each kWh which is enrolled in Green Choice and for which the municipality has contracted to pay a LIPA-approved Green Marketer a
  contracted price for the purchase of environmental attributes.
- The financial incentive is subject to a maximum of \$30,000 per municipality per calendar year and is first come, first serve based on the estimated kWh usage of the subject LIPA accounts. The incentive will run until December 31, 2008 contingent on available funding.
- 4. The municipality must contact its Green Marketer to complete the LIPA Green Choice Municipal Incentive Program Application. The Green Marketer will need to complete the Application by providing to LIPA (1) the original application signed by the municipality, (2) a list of the LIPA account numbers already enrolled on Green Choice or intended to be enrolled on Green Choice along with the unit environmental attribute price in cents/kWh associated with each account and (3) the expiration date of the municipality /Green Marketer contract as applicable.
- 5. Eligibility:
  - a. Must be a LIPA customer
  - b. Villages, cities, towns and counties
  - c. City of New York accounts located in the 5th Ward of Queens (Rockaway Peninsula)
  - d. Bills must be paid by municipal government, not special districts
- The incentive is retroactive to January 1, 2006 for existing LIPA Green Choice accounts that are on the Green Choice program as of December 31, 2006.
- 7. Incentive checks from LIPA will be issued quarterly to each municipality based on the kWh usage of a prior quarter.
- 8. kWh usage for accepted accounts will be based on LIPA meter read data
- 9. Incentive payments to municipalities are subject to revisions due to subsequent adjustments and/or corrections in kWh usage.
- 10. Incentive payments to municipalities will only be made if the municipality's LIPA accounts are current and not in arrears.
- 11. Applications received by LIPA after September 30, 2008 will not be accepted.
- Applicants will be advised by LIPA via email of the acceptance, rejection or waiting list status of their application within 3 weeks of LIPA's
  receiving the completed application from the municipality's Green Marketer.
- 13. In return for the incentive LIPA asks the municipality to promote the LIPA Green Choice program to its constituents by displaying Green Choice brochures in appropriate places (literature rack in town hall) and by promoting the program via normal communications channels (newsletter, etc.)

Wunicipality to Complete:	Green Marketer To Complete:	
Print Name Thomas LaGuardia, P.E.	Company Name: Sterling Planet	
Signature Than of fundia	Contact Name: Joseph Barclay	
Title Chief Engineer	Phone: 516-987-4285 email: jbarclay@sterlingplanet.com	
Date March 16, 2007	Date: March 16, 2007	
INTERNAL USE		
Date Received	Account/Price list received? Yes No	
Status: Accepted Rejected Wait List	Reviewer Name	



# LIPA Green Choice Municipal Incentive Program Application

(For H. Lee Dennison Building)

Municipality Name			
Suffolk County			
Contact Person Name	Title	Phone	E-Mail
Javed Ashraf, P.E., C.E.M.	Energy Engineer	631-852-4229	jashraf@suffolkcountyny.gov
Address			
Street 335 Yaphank Avenue	Town Yaphank	NY	Zip Code <b>11980</b>

The Municipality must contact an approved LIPA Green Choice Green Marketer to complete this application. The application must be submitted to LIPA by the Green Marketer.

### By applying for the incentive the Municipality understands and acknowledges that:

1. LIPA is offering municipalities a financial incentive for participation in the LIPA Green Choice program.

LIPA will reimburse the municipality for up to half the municipality's cost to participate in Green Choice up to a maximum of 1 cent/kWh for
each kWh which is enrolled in Green Choice and for which the municipality has contracted to pay a LIPA-approved Green Marketer a
contracted price for the purchase of environmental attributes.

 The financial incentive is subject to a maximum of \$30,000 per municipality per calendar year and is first come, first serve based on the estimated kWh usage of the subject LIPA accounts. The incentive will run until December 31, 2008 contingent on available funding.

4. The municipality must contact its Green Marketer to complete the LIPA Green Choice Municipal Incentive Program Application. The Green Marketer will need to complete the Application by providing to LIPA (1) the original application signed by the municipality, (2) a list of the LIPA account numbers already enrolled on Green Choice or intended to be enrolled on Green Choice along with the unit environmental attribute price in cents/kWh associated with each account and (3) the expiration date of the municipality /Green Marketer contract as applicable.

Eligibility:

- a. Must be a LIPA customer
- b. Villages, cities, towns and counties
- c. City of New York accounts located in the 5th Ward of Queens (Rockaway Peninsula)

Bills must be paid by municipal government, not special districts

- The incentive is retroactive to January 1, 2006 for existing LIPA Green Choice accounts that are on the Green Choice program as of December 31, 2006.
- 7. Incentive checks from LIPA will be issued quarterly to each municipality based on the kWh usage of a prior quarter.

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- 9. Incentive payments to municipalities are subject to revisions due to subsequent adjustments and/or corrections in kWh usage.
- 10. Incentive payments to municipalities will only be made if the municipality's LIPA accounts are current and not in arrears.

11. Applications received by LIPA after September 30, 2008 will not be accepted.

12. Applicants will be advised by LIPA via email of the acceptance, rejection or waiting list status of their application within 3 weeks of LIPA's receiving the completed application from the municipality's Green Marketer.

13. In return for the incentive LIPA asks the municipality to promote the LIPA Green Choice program to its constituents by displaying Green Choice brochures in appropriate places (literature rack in town half) and by promoting the program via normal communications channels (newsletter, etc.)

Municipality To Complete:	Green Marketer To Complete:		
Print Name Thomas LaGuardia, P.E.	Company Name: Sterling Planet		
Signature Thomas Le Line	Contact Name: Joseph Barclay		
Title Chief Engineer	Phone: 516-987-4285 email: jbarclay@sterlingplanet.com		
Date March 16, 2007	Date: March 16, 2007		
INTERNAL USE			
Date Received	Account/Price list received? Yes No		
Status: Accepted Rejected Wait List	Reviewer Name		

Choose ONE offering from a LIPA Green Choice marketer.	t 81-14 Wind	3 May Page Hydro	Bio Energy	PRICE
Community Energy • www.NewWindEnergy.com • 1-866-WIND 123			:	
☐ NewWindEnergy®	100%		:	2 ¢/kWh
■ NewWindEnergy® & Water	60%	40%		1 ¢/kWh
EnviroGen ● <i>www.EnviroGen.net</i> ● 1-716-631-1517 ☐ Green Power Program		25%	75%	1 ¢/kWh
Sterling Planet • www.SterlingPlanet.com • 1-800-473-1362 ☐ Sterling Green™	40%	30%	30%	1.5 ¢/kWh
New York Clean™	10%	55%	35% 0	687 ¢/kWh
My purchase will be based on \$100% or \$50% of my total usage.    Ame: SUFFOLK GUNTY DPW Address: 335 YAPHANK (6 7 0 - 4 5 - 0 3 1 0 - 1 Email Address: TASHRAF LIPA Account # (WILLIAM H.ROGERS BLDG)    Understand that the LIPA Green Choice charges will be included as an additional charge that bill, and that these charges will not take effect until I am enrolled by my selected ma	AVENUE Town: YAP  CSUFFOLK COUNTYN  ON MY MORTHLY	Daytime Phor	State: NY Zip ne #: 631-85 Sundia	
Y <sub>10</sub>	0			23

# Make a Difference with the LIPA Green Choice

Green Choice Program

day or less, you can join the LIPA Green support green power. For fifty cents a Choice Program and support cleaner, costs are dropping as more people greener power in New York State. fuels. The good news is that the electricity generated from fossil Green power costs more than

A LIPA Green Choice customer can expect

to pay an additional \$4 to \$15 a month, \*Based upon LIPA residential customer average

monthly use of 770 kWh.



www.llpower.org

Green power is electricity that is generated using cleaner, renewable resources like impact on the environment. You can support the priority, on Long Island and throughout the state. development of electric generation using cleaner, Now there is another way that you can have an Make the LIPA Green Choice renewable resources by enrolling in the LIPA before about our dependence on fossil fuels. traditional electric generation has become a New Yorkers are more concerned than ever Exploring new and innovative solutions to What is Green Power? **Make Your Neighbors** hydropower and bio-energy. Green with Envy ..... lo Cleaner Energy

participation in LIPA Green Choice, is loca

within New York State.

if just ten percent of New York's househo

choose green power for their electricity

it would prevent nearly.

three billion pounds of carbon dioxi

fen million pounds of suffur dioxide

using renewable resources, supported by w

Service Commission to ensure that genera

LIPA works with the New York State Publ

voluntary, and you can choose from a vario

of renewable resources.

The LIPA Green Choice Program is entir

The Choice is Up to You

 four million pounds of nifrogen exides rom getting into our air each year.

Making the LIPA Green Ch is as Easy as 1...2...3

Enrolling in LIPA Green Choice is easy. Al have to do is:

2.Decide which offering you'd like to say 1.Compare the offerings on the attached postpaid reply card

3. Complete the reply card and drop it in the mail LIPA shares your concern for the environm We Long Islanders are proud to be some of most environmentally conscious citizens in country. Together, we can make a choice greener Long Island!

For additional information please contact. www.SterlingPlanet.com

[-800-473][-716.63]www.NewWindEnergy.com www.EnviroGen.net



131 S. Hoffman Lane

Islandia, NY 11749



Permit No. 12

Hicksville, NY **GIA9** US Postage First Class AGIL

BUSINESS REPLY MAIL

POSTAGE WILL BE PAID BY ADDRESSEE

1049-94711 YN ,AIGNAJSI 131 S. HOFFMAN LANE

LIPA GREEN CHOICE PROGRAM

NECESSARY NO POSTAGE

1 Choose ONE of	Case 1:09-cv-01176-ATB Doc fering from a LIPA Green Choice marketer.	tument 81-14 Mind	3 <b>1 v 1 v 2 mm P</b> roje Hydro	Bio Energy	PRICE
Community Energy	• www.NewWindEnergy.com • 1-866-WIND 12	23		<del></del>	
☐ NewWindl	Energy®	100%			2 ¢/kWh
☐ NewWindl	Energy® & Water	60%	40%	:	1 ¢/kWh
	nviroGen.net • 1-716-631-1517 ver Program		25%	75%	1 ¢/kWh
Sterling Planet • wi	ww.SterlingPlanet.com • 1-800-473-1362 reen™	40%	30%	30%	1.5 ¢/kWh
New York	Clean™	10%	55%	35% <b>O</b> .	.687 ¢/kWh
My purchase w	ill be based on <b>100%</b> or 150% of my total COUNTY DPW Address: 335 YAPHT	al usage. ANK AVENUE Town: YAT	PHANK	State: 🃈 Zip	11980
I understand that the LIP	COUNTY DPW Address: 335 APH 5 - 4 8 0 0 - 1 Email Address: 7 Account # (H. LEE DENN'S ON BUDG)  A Green Choice charges will be included as an addition charges will not take effect until I am enrolled by my se	al charge on my monthly	M. GOV Daytimo Phoi ms L Port En Yia	ne #: 631-85	52-4229

# Make a Difference with the LIPA Green Choice

**Making the LIPA Green Choice** 

is as Easy as 1....2....3

Enrolling in LIPA Green Choice is easy. All you

have to do is:

choose green power for their electricity supply,

it would prevent nearly:

impact on the environment. You can support the

Now there is another way that you can have an

development of electric generation using cleaner,

renewable resources by enrolling in the LIPA

Green Choice Program.

priority, on Long Island and throughout the state.

traditional electric generation has become a

Exploring new and innovative solutions to

before about our dependence on fossil fuels.

New Yorkers are more concerned than ever

to Cleaner Energy

three billion pounds of carbon dioxide

four million pounds of nitrogen oxides

rom getting into our air each year,

ten million pounds of sulfur dioxide

If just ten percent of New York's households

participation in LIPA Green Choice, is located

within New York State.

Service Commission to ensure that generation using renewable resources, supported by your

LIPA works with the New York State Public

voluntary, and you can choose from a variety

Make the LIPA Green Choice

**Make Your Neighbors** 

nydropower and bio-energy.

Green with Envy ......

of renewable resources.

The LIPA Green Choice Program is entirely

The Choice is Up to You

Green power is electricity that is generated using cleaner, renewable resources like wind

What is Green Power?

day or less, you can join the LIPA Green support green power. For fifty cents a Choice Program and support cleaner, costs are dropping as more people greener power in New York State. fuels. The good news is that the electricity generated from fossil Green power costs more than

A LIPA Green Choice customer can expect to pay an additional \$4 to \$15 a month, depending on the selected offering,\*



\*Based upon LIPA residential customer average monthly use of 770 kWh.

We Long Islanders are proud to be some of the most environmentally conscious citizens in the country. Together, we can make a choice for a

greener Long Island!

For additional information please contact:

www.SterlingPlanet.com

www.EnviroGen.net

JPA shares your concern for the environment.

2.Decide which offering you'd like to support

1. Compare the offerings on the attached

postpaid reply card

3. Complete the reply card and drop it in

the mail

www.lipower.org

1-866-WIND-123

www.NewWindEnergy.com

1-716-631-1517 1-800-473-1362

NO POSTAGE VFISSAN NE MAILED IN THE UNITED STATES



# BUSINESS REPLY MAIL

POSTAGE WILL BE PAID BY ADDRESSEE

LIPA GREEN CHOICE PROGRAM 131 S. HOFFMAN LANE ISLANDIA, NY 11749-9401

#whillimm##mfdnhdhafdm##mdhad

LIPA First Class US Postage PAID Hickeville, UY Permit No. 12





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Utility, Util Act No., Marketer, Mikr Act No., Customer, Trans. Date, Meter No., Purpose, Svc., Type, Meters, Util Rate Meter Reading Start Date, Start Meter Reading End Date, End Meter Reading End Date, End Meter Reading Total, UCM, Number of Dials, Conversion Factor, Pressure Factor, Season, Next Read Date, Backout-Credit, Ref Num KEYSPAN ENERGY LIGHAG085209, 3920548001 STERLING PLANETTO05270829, SUFF COUNTY DPW, 20082010,079184427, ORIGINAL, EL.1, 0285 01/25/2010,02052010,

New York Clean = \$0.687 cents per kWh or \$0.00687

Rate kWh Total 0.00687 x 39,600 272.05 0.00687 x 108,000 741.9f

\$1,014.01

### GREEN CERTIFICATE SALES AGREEMENT

THIS GREEN CERTIFICATE SALES AGREEMENT ("Agreement") is entered into as of August 10, 2005 ("Effective Date"), by and between Sterling Planet, Inc., a Georgia corporation with offices at 3295 River Exchange Drive, Suite 300, Norcross, Georgia 30092-4238 ("Sterling Planet"), and the party identified below (the "Seller") (collectively referred to herein as the "Parties," and individually referred to herein as a "Party".

Name:	Sierra Pacific Industries
State of Incorporation:	California
Address:	P. O. Box 496028
	Redding, California 96049-6028
Contact/Title:	Bob Ellery / Director of Energy Resources
Phone:	(530) 378-8179
Fax:	(530) 378-8139
E-mail;	bellery@spi-ind.com

#### RECITALS

- 1. Seller has the marketing rights to the environmental attributes to certain renewable energy facilities, the details of which are set forth in Exhibit 1 to this Agreement (the "Projects").
- 2. The Projects also represent and create certain characteristics that arise from the generation of electricity using a renewable energy source, referred to herein as "Green Certificates," which includes all such attributes from electric generation or other processes.
- 3. Sterling Planet is in the business of marketing Green Certificates from producers and reselling them to retail and wholesale Clients.
- Seller wishes to sell Green Certificates created by the Project ("Project Green Certificates") and Sterling Planet wishes to buy such Green Certificates from Seller.

NOW, THEREFORE, in consideration of the above recitals and the mutual promises of the parties set forth below, Sterling Planet and Seller agree as follows:

### **AGREEMENT**

1. Green Certificate Unit Contract Price. Sterling Planet has determined the Contract Price for 500,000 MWh (100,000 MWh per year for five years) of Green Certificates produced by the Projects as \$0.80 per MWh. Sterling Planet will pay Seller the Contract Price for all Green Certificates purchased from the Projects.

- 2. Green Certificate Total Contract Price. Sterling Planet has agreed to pay a total of \$400,000.00 for 500,000 MWh the Green Certificates Defined in Table 1 of this Agreement.
- 3. Payment Schedule. Sterling Planet has agreed to pay either

\_\_\_\_\_ on a one-time basis, or
\_\_X\_\_ the payment schedule defined at the end of this
Agreement

the amount specified in Paragraph 2 of this Agreement for the Green Certificates defined in Table 1 within thirty (30) days after receipt of invoice. Seller shall provide certificates within thirty (30) days from receipt of payment.

4. Certification. Sterling Planet has used
\_X\_ Green-e, or
\_\_\_\_ Environmental Resources Trust (ERT), or
\_\_\_\_ Neither

certification processes for this transaction.

5. Publicity and Disclosure. Both parties agree to allow each of the participants the use of company names, logos, etc. solely for the purpose of business development and publicizing and promoting the green energy marketing effort. Press releases will not be released without the review and approval of each party. Other uses of company names, logos, etc are not authorized. Under mutually agreed to language, Sterling Planet and Seller will issue a press release educating the

marketplace about this green energy purchase within a few days after execution of this Agreement.

- 6. Confidential Information. It may be necessary for each party to provide the other party information necessary to permit performance of their respective obligations hereunder. The parties agrees that all information including cost estimates, financial and other information provided by the other party, which is clearly marked as being confidential information, will be held in strict confidence, and upon the expiration or earlier termination of this Agreement, the parties and their respective officers, employees, agents, advisors and representatives, will continue to hold such information in confidence and will promptly return to the other all documents provided by the other party. The parties obligation to treat such information confidential shall terminate at the expiration of three (3) years from the termination of this Agreement. Nothing in this Agreement shall limit either party's use or disclosure of information which:
- A) Is now generally known or available on an unrestricted basis to the public or becomes so known or available on an unrestricted basis through no fault of the receiving party, or
- B) Is already in the receiving party's possession without restriction as to its use or disclosure prior to its receipt from the disclosing party, or
- C) Is acquired by the receiving party on an unrestricted basis from any third party, provided that the receiving party does not know or have reason to know, or is not informed subsequent to disclosure by such third party and prior to disclosure by the disclosing party, that such information was acquired under an obligation of confidentiality, or
- D) Information that was developed by or for the receiving party independently of and without reference to the information of the disclosing party.
- 7. Administration of Agreement. Each party hereby designates its employees identified below as its contract administrator for this Agreement. The contract administrator(s) shall be responsible for representing their respective employers in all matters relative to the administration of this Agreement. Each party may change its designated administrator(s) by giving not less than ten (10) days prior written notice of its new contract administrator to the other party.

### Sterling Planet Administrator:

Mel Jones

Telephone No.: (404) 513-0259

Seller Contract Administrator:

**Bob Ellery** 

Telephone No.: (530) 378-8179

8. Notices. All notices or other communications given, delivered or made under this Agreement by either party to the other party will be in writing and will be delivered personally or by first class mail or by facsimile. If such communication is delivered by facsimile the party receiving such communication will confirm receipt of that communication in writing by means of return facsimile. All notices shall be delivered or sent to the other party at the address shown below or to any other address as the party may designate by ten (10) days prior written notice given in accordance with this paragraph.

### If to Sterling Planet:

Sterling Planet, Inc. 3295 River Exchange Drive Suite 300

Norcross, Georgia 30092-4238

Attention: Mel Jones

Telephone No.: (404) 513-0259 Fax No: (678) 325-3174

### If to Seller:

Sierra Pacific Industries 19794 Riverside Avenue Anderson, California 96007

Attention: Bob Ellery

Telephone No.: (530) 378-8179 Fax No: (530) 378-8139

- 9. Independent Contractor. The parties agree that they are independent contractors for the purpose and activities undertaken in accordance with or as contemplated in this Agreement, and that no party will be considered or permitted to be an agent, servant, joint venturer or partner of any other party. It is expressly understood and agreed that neither party has any right or authority to directly or indirectly incur any obligation or responsibilities on behalf of the other party or commit the other party to any matter or understanding or make any warranties or representations with respect to the Project, without the other party's prior written consent.
- 10. Limitation of Liability. Neither party to this Agreement shall have any liability to any of the parties with respect to the Project's Green Certificates or its work in the exercise of its defined responsibilities. IT IS UNDERSTOOD AND AGREED THAT THERE ARE NO WARRANTIES, EXPRESS OR IMPLIED, WRITTEN OR ORAL, STATUTORY OR OTHERWISE, WITH RESPECT TO THE SERVICES EACH PARTY

WILL PROVIDE PURSUANT TO THIS AGREEMENT. NEITHER PARTY TO THIS AGREEMENT SHALL BE LIABLE TO THE OTHER FOR ANY UNFORESEEABLE INDIRECT. INCIDENTAL, CONSEQUENTIAL SPECIAL DAMAGES, OR INCLUDING, WITHOUT LIMITATION, ANY LOSS OF REVENUES OR LOSS OF PROFITS.

- 11. Non-Circumvention. During the term of this Agreement and for two years afterwards, Seller will not circumvent the relationship with Sterling Planet's customers, subcontractors, and marketing partners and Sterling Planet will not circumvent the relationship with Seller's customers, subcontractors, and marketing partners with respect to any prospect or business opportunity delivered by the other party.
- 12. Governing Law. This Agreement shall be governed by, subject to, and construed in all respects in accordance with the laws of the State of Georgia, U.S.A.

- 13. Assignment Prohibited. Neither party shall assign or otherwise transfer any of its rights or obligations under this Agreement without the prior written consent of the other party. Any assignment in violation of this provision shall be deemed to be mall and void.
- 14. Duplicate Originals. Two (2) duplicate originals of this Agreement shall be executed, each of which shall be deemed an original but both of which together shall constitute one and the same instrument.
- 15. Entire Agreement. This Agreement contains the entire agreement of the parties and there are no oral or written representations, understandings or agreements between the parties respecting the subject matter of this Agreement which are not expressed herein.

IN WITNESS WHEREOF, the Parties have caused this Agreement to be effective as of the day and year first above

Commercial	Just 1004(
STERLING PLANET, INC.	SELLER
Signed:  Name: Mel Jones  Title: President and Chief Executive Officer	Signed: Bob Ellery  Title: Director of Energy Resources

### TABLE 1

Below is the Green Generator Renewable Project and its location that is supplying Green Project Certificates under

RENEWABLE PROJECT 1: SELLER Plant Name: Sonora First Date of Operation: May 2001 Plant Type: Biomass - Saw Mill Residue Location City, State: Sonora, California Plant Size (in MW or kW): 7.5 MW Annual MWh Sold to Sterling Planet: 35,000 in 2005

#### RENEWABLE PROJECT 2:

SELLER

Plant Name: Quincy Unit #2

Plant Type: Biomass - Saw Mill Residue

Plant Size (in MW or kW): 7.5 MW

First Date of Operation: November 1999

Location City, State: Quincy

Annual MWh Sold to Sterling Planet:

40,000 per Year

### RENEWABLE PROJECT 3:

SELLER

Plant Name: Lincoln

Plant Type: Biomass - Saw Mill Residue

Plant Size (in MW or kW): 20 MW

First Date of Operation: December 2005

Location City, State: Lincoln, California

Annual MWh Sold to Sterling Planet:

60,000 per Year Starting 2006

### RENEWABLE PROJECT 4:

SELLER

Plant Name: Anderson

Plant Type: Biomass - Saw Mill Residue

Plant Size (in MW or kW): 5 MW

First Date of Operation: March 1998

Location City, State: Anders

Anderson, California

Annual MWh Sold to Sterling Planet:

25,000 for 2005

### PAYMENT SCHEDULE

Below is the agreed to payment schedule for the Green Certificates purchased under this Agreement.

	Payment Due Date	Amount
Payment 1 - For 8,334 MWh 2005 Biomass Green Certificates	30 Days from Execution Date	\$6,667.20
Payment 2 – For 8,333 MWh 2005 Biomass Green Certificates	10/1/05	\$6,666.40
Payment 3 – For 8,333 MWh 2005 Biomass Green Certificates	11/1/05	\$6,666.40
Payment 4 – For 8,334 MWh 2005 Biomass Green Certificates	12/1/05	\$6,667.20
Payment 5 – For 8,333 MWh 2005 Biomass Green Certificates	1/1/06	\$6,666.40
Payment 6 – For 8,333 MWh 2005 Biomass Green Certificates	2/1/06	\$6,666.40
Payment 7 – For 8,334 MWh 2005 Biomass Green Certificates	3/1/06	\$6,667.20
Payment 8 - For 8,333 MWh 2005 Biomass Green Certificates	4/1/06	\$6,666.40
Payment 9 – For 8,333 MWh 2005 Biomass Green Certificates	5/1/06	\$6,666.40
Payment 10 – For 8,334 MWh 2005 Biomass Green Certificates	6/1/06	\$6,667.20
Payment 11 - For 8,333 MWh 2005 Biomass Green Certificates	7/1/06	\$6,666.40
Payment 12 – For 8,333 MWh 2005 Biomass Green Certificates	8/1/06	\$6,666.40
Payment 13 – For 8,334 MWh 2006 Biomass Green Certificates	9/1/06	\$6,667.20
Payment 14 - For 8,333 MWh 2006 Biomass Green Certificates	10/1/06	\$6,666.40
Payment 15 - For 8,333 MWh 2006 Biomass Green Certificates	11/1/06	\$6,666.40
Payment 16 - For 8,334 MWh 2006 Biomass Green Certificates	12/1/06	\$6,667.20
Payment 17 - For 8,333 MWh 2006 Biomass Green Certificates	1/1/07	\$6,666.40
Payment 18 – For 8,333 MWh 2006 Biomass Green Certificates	2/1/07	\$6,666.40
Payment 19 - For 8,334 MWh 2006 Biomass Green Certificates	3/2/07	\$6,667.20
Payment 20 - For 8,333 MWh 2006 Biomass Green Certificates	4/1/07	\$6,666.40
Payment 21 – For 8,333 MWh 2006 Biomass Green Certificates	5/1/07	\$6,666.40
Payment 22 - For 8,334 MWh 2006 Biomass Green Certificates	6/1/07	\$6,667.20
Payment 23 - For 8,333 MWh 2006 Biomass Green Certificates	7/1/07	\$6,666.40
Payment 24 – For 8,333 MWh 2006 Biomass Green Certificates	8/1/07	\$6,666.40
Payment 25 – For 8,334 MWh 2007 Biomass Green Certificates	9/1/07	\$6,667.20
Payment 26 - For 8,333 MWh 2007 Biomass Green Certificates	10/1/07	\$6,666.40
Payment 27 - For 8,333 MWh 2007 Biomass Green Certificates	11/1/07	\$6,666.40
Payment 28 - For 8,334 MWh 2007 Biomass Green Certificates	12/1/07	\$6,667.20
Payment 29 - For 8,333 MWh 2007 Biomass Green Certificates	1/1/08	\$6,666.40
Payment 30 - For 8,333 MWh 2007 Biomass Green Certificates	2/1/08	\$6,666.40
Payment 31 - For 8,334 MWh 2007 Biomass Green Certificates	3/2/08	\$6,677.20
Payment 32 - For 8,333 MWh 2007 Biomass Green Certificates	4/1/08	\$6,666.40
Payment 33 - For 8,333 MWh 2007 Biomass Green Certificates	5/1/08	\$6,666.40
Payment 34 – For 8,334 MWh 2007 Biomass Green Certificates	6/1/08	\$6,667.20
Payment 35 - For 8,333 MWh 2007 Biomass Green Certificates	7/1/08	\$6,666.40
Payment 36 - For 8,333 MWh 2007 Biomass Green Certificates	8/1/08	\$6,666.40
Payment 37 - For 8,334 MWh 2008 Biomass Green Certificates	9/1/08	\$6,667.20
Payment 38 - For 8,333 MWh 2008 Biomass Green Certificates	10/1/08	\$6,666.40
Payment 39 - For 8,333 MWh 2008 Biomass Green Certificates	11/1/08	\$6,666.40
Payment 40 - For 8,334 MWh 2008 Biomass Green Certificates	12/1/08	\$6,667.20
Payment 41 – For 8,333 MWh 2008 Biomass Green Certificates	1/1/09	\$6,666.40
Payment 42 - For 8,333 MWh 2008 Biomass Green Certificates	2/1/09	\$6,666.40
Payment 43 - For 8,334 MWh 2008 Biomass Green Certificates	3/2/09	\$6,667.20
Payment 44 – For 8,333 MWh 2008 Biomass Green Certificates	4/1/09	\$6,666.40
Payment 45 - For 8,333 MWh 2008 Biomass Green Certificates	5/1/09	\$6,666.40
Payment 46 - For 8,334 MWh 2008 Biomass Green Certificates	6/1/09	\$6,667.20
Payment 47 – For 8,333 MWh 2008 Biomass Green Certificates	7/1/09	\$6,666.40
Payment 48 - For 8,333 MWh 2008 Biomass Green Certificates	8/1/09	\$6,666.40
Payment 49 – For 8,334 MWh 2009 Biomass Green Certificates	9/1/09	\$6,667.20
Payment 50 - For 8,333 MWh 2009 Biomass Green Certificates	10/1/09	\$6,666.40

Payment 51 - For 8,333 MWh 2009 Biomass Green Certificates	11/1/09	\$6,666.40
Payment 52 - For 8,334 MWh 2009 Biomass Green Certificates	12/1/09	\$6,667.20
Payment 53 – For 8,333 MWh 2009 Biomass Green Certificates	1/1/10	\$6,666.40
Payment 54 - For 8,333 MWh 2009 Biomass Green Certificates	2/1/10	\$6,666.40
Payment 55 - For 8,334 MWh 2009 Biomass Green Certificates	3/2/10	\$6,667.20
Payment 56 - For 8,333 MWh 2009 Biomass Green Certificates	4/1/10	\$6,666.40
Payment 57 – For 8,333 MWh 2009 Biomass Green Certificates	5/1/10	\$6,666.40
Payment 58 - For 8,334 MWh 2009 Biomass Green Certificates	6/1/10	\$6,667.20
Payment 59 - For 8,333 MWh 2009 Biomass Green Certificates	7/1/10	\$6,666.40
Payment 60 - For 8,333 MWh 2009 Biomass Green Certificates	8/1/10	\$6,666.40

This payment schedule is valid only if Client pays Sterling Planet on time. Should Client fall behind, Sterling Planet will pay Seller within five (5) business days of receipt of payment from Client. In the event payment is not made within sixty (60) days of due date, the Seller reserves the right to terminate this Agreement.

Revision 6/2/2005